

ADDITIONAL INVESTMENT FORM

You should read and understand the content of relevant Prospectus(es), its Supplemental Prospectus(es) (if any)/Information Memorandum(s) and its Supplemental Information Memorandum(s) (if any) before completing the application form. In accordance with the requirements of the CMSA, the application form should not be circulated unless accompanied by the relevant Prospectus(es) and its Supplemental Prospectus(es) if any/Information Memorandum(s) and its Supplemental Information Memorandum(s) (if any). Please complete in BLOCK LETTERS and tick (✓) where applicable.

1. PARTICULARS OF HOLDER(S)

Principal Holder/Company Name:

Holder Account No.:

Joint Holder(s) (for individual account):

Contact No.:

2. INVESTMENT DETAILS

Lump Sum Investment Regular Investment¹: 10th of each month

Are the Funds listed below new to this Account? Yes, please reassess your risk profile

No

| Fund Name ² | Currency | Gross Value | Entry Fee ³ (%) (Exclusive of GST ⁴) | FOR OFFICE USE | |
|------------------------|----------|-------------|---|----------------|-----|
| | | | | Net Investment | NAV |
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¹ Please provide a copy of approved Standing Instructions from the bank.

² For transfer-in, please indicate Fund Name only.

³ Entry Fee is also known as sales charge, upfront fee or front-end load.

⁴ The entry fee is subject to the Goods and Services Tax (GST) at standard rate of 6%.

3. INVESTMENT SETTLEMENT DETAILS

Cheque : Drawee Bank: _____ Cheque No.: _____ Amount (RM): _____

Fund transfer from : MayBank _____ Date & Time: _____ Amount (RM): _____

Others _____

Transfer-in: Please include the Consent Letter and a copy of statement of Unit Holding from the transferor.

- Cash payments, cash deposits and payment made by third party are strictly not allowed.
- Please provide proof of payment (Online Transfer Receipt or Bank-in Receipt).
- Please indicate your NRIC No/Account No/Name as reference in your remittance advice.

4. DECLARATION BY ACCOUNT HOLDER(S)

Pursuant to the Guidelines on Sales Practices of Unlisted Capital Market Products issued by the Securities Commission Malaysia (SC), I/We hereby declare that I/We have been assessed by Areca Capital on the suitability assessment and confirm that there has been no been material change in the information since the last assessment.

5. WHOLESALE FUND DECLARATION

I/We wish to invest into Wholesale Fund⁵(s). I/We hereby declare and confirmed that I/we am/are Sophisticated Investor⁶(s) as defined in the Guidelines on Unlisted Capital Market Products Under the Lodge and Launch Framework ("Guidelines") issued by the SC.

⁵ Wholesale fund is defined in the Guidelines

⁶ Sophisticated Investor is defined in the Guidelines to mean any person who comes within any of the categories of investors set out in Part 1, Schedule 6 and 7 of the Capital Markets and Services Act 2007. Please refer to the Wholesale Fund's Product Highlight Sheet/Information Memorandum for definition of Sophisticated investor.

6. FOREIGN CURRENCY FUND DECLARATION

I/We wish to invest into foreign currency denominated Fund(s). I/we have read and fully understood and shall comply with the requirements of the rules of the Foreign Exchange Administration of the Bank Negara Malaysia (BNM), including but not limited to, the rules in relation to Domestic Ringgit Borrowing⁷, and/or other requirements of the BNM or any other relevant authorities from time to time.

⁷ Domestic Ringgit Borrowing is defined under the Notice 3: Investment in Foreign Currency Asset, issued by BNM

7. TERMS AND CONDITIONS

a) Rights of the Areca Capital Sdn. Bhd. ("ACSB" or "the Company")

- The Terms and Conditions herein are not exhaustive and the Company reserves the right to add, delete, vary or amend these Terms and Conditions at any time and from time to time at its sole and absolute discretion and the Account Holders hereby agree to any such additions, deletions, variations or amendments to the Terms and Conditions. Where there are conflicting terms, the terms in the relevant disclosure documents shall prevail.
- ACSB reserves the right to reject any investment application in whole or in part without assigning any reason. Rejected applicant will be notified and ACSB will refund the applicant money, made payable to the applicant, within 7 days from the date of receipt of the duly completed Investment Form.

b) Anti-Money Laundering Statement

- The source of all funds paid by the Account Holder(s) to ACSB from time to time shall come from the Holders(s)'s legitimate source or business activity.
- Account Holder(s) must provide all information and documents required and declare that all particulars given are true and complete to allow ACSB to verify the Account Holder's identity.
- ACSB shall not be held liable for any reason whatsoever and Account Holder(s) agree(s) to indemnify the Company from any losses whatsoever as a result or in connection with any delay or failure to process any application/transaction if such information and/or documents are not provided on time to the Company.
- ACSB reserves the right to reject any application if the Account Holder(s) is/are not able to prove the information and/or documents required or if the Account Holder(s) is/are found to have breached or non-compliance with the laws, regulations and rules on Anti-Money Laundering and Anti-Terrorism Financing Act 2001 (AML/ATF) and 'Know Your Customer' policy of the Company. Rejected application will be notified.

c) Application and Remittance

- All instructions, investments and transactions will be carried out according to the instructions of Authorised Signatory specified in the Account Opening Master Form or any subsequent written notice duly received by ACSB. Transactions are subject to the availability of units of the relevant unit trust fund(s).
- Payment may be made by telegraphic transfer, bank or online transfer, cheque or bank draft.
- All cheques/bank drafts must be made payable to "Areca Capital Sdn. Bhd." and crossed 'Account Payee Only'. A copy of the bank-in or transaction slip must be sent together with this Account Opening Master Form to ACSB.
- For all mode of payments, please ensure that the Account Holder's name, Account Holder Number. (if known) and contact no. are written on the reverse side of the bank-in slip, transaction slip or other banking evidence.
- Cash payments, cash deposits and payments made by a third party are not accepted.
- Units will be priced based on the Net Asset Value (NAV) calculated at the end of the business day upon receipt of the duly completed Account Opening Master Form. Units will be credited upon clearance of payment, after deduction of the necessary fees, charges, taxes and other deductions, where applicable.

d) Issuance of Official Receipt and Statement of Accounts

- A confirmation note will be issued to the Principal Holder within 10 business days from the date of investment under normal circumstances.
- Statement of Accounts detailing all active accounts will be issued to Principal Holder periodically.
- All items and balances shown in the confirmation notes and Statement of Accounts will be considered correct unless the Company is notified in writing of any discrepancy within 14 days from the date of the confirmation notes and Statement of Accounts.
- All notices, statements, vouchers, correspondence and other communications will be addressed to the Principal Holder only at the address herein.

e) Transfer-In

- Transfer-in of units from another distributor to ACSB is only allowed when there is no change of beneficial owner including Joint Holder(s).
- It will take about two weeks to complete the transfer in process. The process will take longer as it is subject to the completeness of information/documents provided by Account Holder and transferor's confirmation.

f) Income Distribution and Reinvestment Policies

- Income distributions declared by the Manager of the unit trust fund shall be reinvested in new units of the same fund except where reinvestment is not allowed.

g) Fees, Charges and Expenses

- The Account Holder(s) shall be responsible for the payment of any charges, fees, costs, expenses, taxes (if any) and other liabilities properly payable or incurred by ACSB and in holding or executing transaction in respect of any of the units.

8. LOAN FINANCING RISK DISCLOSURE STATEMENT

Investing in a Unit Trust Fund with Borrowed Money is More Risky than Investing with Your Own Savings.

You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financial circumstances. You should be aware of the risks, which would include the following:

- The higher the margin of financing (that is, the amount of money you borrow for every ringgit of your own money that you put in as deposit or down payment) the greater the loss or gain on your investment.
- You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
- If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral or pay additional amounts on top of your normal instalments. If you fail to comply within the time prescribed, your units may be sold to settle your loan.
- Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced. Whether you eventually realise a gain or loss may be affected by the timing of the sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.

This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms and conditions before you decide to take the loan. If you are in doubt in respect of any aspect of this risk disclosure statement or the terms of the loan financing, you should consult the institution offering the loan.

Acknowledgement Of Receipts Of Risk Disclosure Statement

I/We acknowledge that I/We have received a copy of this Unit Trust Loan Financing Risk Disclosure Statement and understand its contents.

Signature : _____

Full name: _____

Date: _____

9. SIGNATURE(S)

I/We have received, read and fully understood the terms and conditions in this form and the relevant Product Highlight Sheet(s) and Prospectus(es)/Information Memorandum(s) of the unit trust funds. I/We further acknowledged that I/We are aware of the fees and charges and agree to the fees and charges that I/We will incur directly or indirectly when investing in the Fund(s). I/We confirm that I/We have been assessed by ACSB on the suitability assessment. I/We confirm that the payment is remitted from my/our own/company account

For corporate, please affix company's stamp here

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| Signature |
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| Signature |
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| Signature |
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Name: _____

NRIC/Passport No.: _____

Date: _____

Name: _____

NRIC/Passport/Birth Certificate No.: _____

Date: _____

Name: _____

NRIC/Passport/Birth Certificate No.: _____

Date: _____

NO CASH POLICY



(Note: Areca Capital is committed towards safeguarding the interests of our investors; prevention of any incidence of cash mishandling or mismanagement while heeding Bank Negara Malaysia's desire for electronic payment methods for greater efficiency, transparency and accountability.)

In view of this, we have embarked on a no-cash policy; where any form of cash payment, cash deposits and 3rd party payment are STRICTLY NOT ALLOWED.)

FOR ARECA AUTHORISED UNIT TRUST SCHEME CONSULTANT USE

| AUTHORISED UNIT TRUST SCHEME CONSULTANT DETAILS | |
|--|------------------------------------|
| Signature/Initial: | Unit Trust Scheme Consultant Code: |
| Name: | |
| <p>APPLICABLE FOR WHOLESALE FUND - SOPHISTICATED INVESTOR ONLY</p> <p>INDIVIDUAL – Confirmation by UTSC on the investor matches which criteria as a Sophisticated investor:</p> <ul style="list-style-type: none"> <input type="checkbox"/> An individual whose total net personal assets, or total net joint assets with his or her spouse, exceed RM3 million or its equivalent in foreign currencies, excluding the value of the individual’s primary residence; <input type="checkbox"/> An individual who has a gross annual income exceeding RM300,000 or its equivalent in foreign currencies per annum in the preceding twelve months; <input type="checkbox"/> An individual who, jointly with his or her spouse, has a gross annual income exceeding RM400,000 or its equivalent in foreign currencies per annum in the preceding twelve months; <p>Others Information:</p> <hr/> <hr/> | |
| <p>CORPORATE - Confirmation by UTSC on the investor matches which criteria as a Sophisticated investor:</p> <ul style="list-style-type: none"> <input type="checkbox"/> A corporation with total net assets exceeding RM10 million or its equivalent in foreign currencies based on the last audited accounts; <input type="checkbox"/> A partnership with total net assets exceeding RM10 million or its equivalent in foreign currencies; <input type="checkbox"/> Others definition of Sophisticated investor <p>Others Information</p> <hr/> <hr/> | |

FOR OFFICE USE BY ACSB

| FOR AUTHORISED OFFICER USE ONLY | |
|---------------------------------|-------------------|
| Processed by: | Transaction Date: |
| Notes: | |

All duly signed written instructions must reach the business office of ACSB before 3.00pm for it to take effect on the same Business Day.