





## D. SIGNATURE(S)

I/We hereby confirm, acknowledge and agree:-

- a) that I/we have read and fully understood the Terms and Conditions in this **Ultimate Beneficial Owner Form** and **Master Account Opening Form** accompanied by the relevant Disclosure Documents of the respective unit trust fund(s) where applicable and agree to be bound by them.
- b) that the information provided above is accurate and complete and that I/we am/are the Authorised Signatory(ies) to the account. I/We agree to indemnify ACSB, its directors, officers, employees, agents and representatives against all actions, suits, proceedings, claims, damages and losses which may be suffered by ACSB as a result of any inaccuracy in the information provided herein.

**Please do not pre-sign or sign an incomplete form. Please affix company's stamp here.**

|                        |                        |                        |
|------------------------|------------------------|------------------------|
| Authorised Signatory 1 | Authorised Signatory 2 | Authorised Signatory 3 |
|                        |                        |                        |
| Name: _____            | Name: _____            | Name: _____            |
| Date: _____            | Date: _____            | Date: _____            |

## FOR ARECA AUTHORISED UTSC USE ONLY

| ARECA AUTHORISED UTSC DETAILS |             |                  |
|-------------------------------|-------------|------------------|
| Signature: _____              | Name: _____ | FIMM Code: _____ |
| MEETING NOTES                 |             |                  |
| Date: _____                   | Time: _____ | Location: _____  |
| Other Information: _____      |             |                  |
|                               |             |                  |

## FOR OFFICE USE BY ACSB

| FOR AUTHORISED OFFICER USE ONLY                                                  |                         |
|----------------------------------------------------------------------------------|-------------------------|
| Processed by: _____                                                              | Transaction Date: _____ |
| Notes: _____                                                                     |                         |
| CRS: <input type="checkbox"/> Reportable <input type="checkbox"/> Non-Reportable |                         |

## APPENDIX A: CODE OF EXEMPTED LEGAL PERSON OR LEGAL ARRANGEMENT

### Definition of Ultimate Beneficial Owner

Any natural person who ultimately owns or controls a customer and/or the natural person on whose behalf a transaction is being conducted. It also includes the natural person who exercises ultimate effective control over a legal person or arrangement. Reference to “ultimately owns or controls” and “ultimate effective control” refer to situations in which ownership/control is exercised through a chain of ownership or by means of control other than direct control.

| Code | Exempted Legal Person or Legal Arrangement                                                                                                                                                                                                                                                   |
|------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1    | Public-listed companies/corporations listed on Bursa Malaysia or majority-owned subsidiaries of such public-listed companies.                                                                                                                                                                |
| 2    | Foreign public-listed companies:-<br>(a) listed on exchanges recognised by Bursa Malaysia. A reporting institution may refer to the directive in relation to recognised stock exchanges issued by Bursa Malaysia<br>(b) not listed in jurisdictions identified in the FATF Public Statements |
| 3    | An authorised person, an operator of a designated payment system, a registered person (as the case may be) under the Financial Services Act 2013 or the Islamic Financial Services Act 2013.                                                                                                 |
| 4    | Entities licensed under the Labuan Financial Services and Securities Act 2010 or the Labuan Islamic Financial Services and Securities Act 2010.                                                                                                                                              |
| 5    | Persons licensed or registered under the CMSA.                                                                                                                                                                                                                                               |
| 6    | Prescribed institutions under the Development Financial Institutions Act 2002.                                                                                                                                                                                                               |
| 7    | Entities licensed under Money Services Business Act 2011.                                                                                                                                                                                                                                    |

## APPENDIX B: CODE OF INDUSTRY/BUSINESS ACTIVITY

| Code | Industry/Business Activity                                                                                 |
|------|------------------------------------------------------------------------------------------------------------|
| 1    | Casino / Snooker Center / Internet Café Operator / Betting or Other Gambling Related Activities (H1)       |
| 2    | Company with Nominee Shareholders / Complex Legal Arrangement (H2)                                         |
| 3    | High Value Goods Dealer Such as Jewel, Gems, Precious Metal or Antique Dealers (H3)                        |
| 4    | Laundromat / Vending Machines Operator, Restaurant, Recycling Business and etc. (H4)                       |
| 5    | Money Lender / Money Changer / Remittance Agency / Pawn Shop / Debt Collection Related Operators (H5)      |
| 6    | Night Club / Karaoke / Other Alcoholic Establishment and etc. (H6)                                         |
| 7    | Offshore Corporation / Persons Located in Tax Haven Jurisdictions & Non Cooperative Countries by FATF (H7) |
| 8    | Trust / Nominees / Special Purpose Vehicles (“SPVs”) (H8)                                                  |
| 9    | Weapons / Armament Related Business Such as Manufacturers, Dealer or Intermediaries (H9)                   |
| 10   | Others                                                                                                     |