

TRANSFER FORM

In accordance with the requirements of the Capital Markets and Services Act 2007 (“CMSA”), this application form should not be circulated unless accompanied by the relevant Prospectus(es) and its Supplemental Prospectus(es) (if any)/Information Memorandum(s) and its Supplemental Information Memorandum(s) (if any) collectively known as the Disclosure Documents. The Account Holder(s) should read and understand the content of the Disclosure Documents before completing this application form. All duly signed written instructions must reach the business office of Areca Capital Sdn. Bhd. (“ACSB”) **before 2.30pm** for them to take effect on the same Business Day.

Please complete in **BLOCK LETTERS** and tick (v) where applicable.

A. PARTICULARS OF TRANSFEROR

Principal Holder Name

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Joint Holder(s) Name (for Individual Account, where applicable)

Account Number

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Reason(s) For Transfer

Relationship With The Transferee

B. TRANSFER DETAILS

Please note that transfers from Corporate Account to Individual Account are not permitted.

Subject to the Terms and Conditions of the respective funds, transfers of Wholesale Funds are only allowed between Sophisticated Investors.

Fund Name	Currency	Amount	Units

C. ACCOUNT TERMS AND CONDITIONS

1. Rights of ACSB

- 1.1 The Terms and Conditions herein are not exhaustive and ACSB reserves the right to add, delete, vary or amend these Terms and Conditions at any time and from time to time at its sole and absolute discretion and the Account Holder(s) hereby agree to any such additions, deletions, variations or amendments to the Terms and Conditions. Where there are conflicting terms, the terms in ACSB’s forms and/or Disclosure Documents shall prevail.
- 1.2 Account Holder(s) is/are informed and has/have expressly agreed to be serviced by any assigned employee of ACSB in addition to the servicing Unit Trust Scheme Consultant (“UTSC”), including but not limited to any appointed Unit Trust Management Company (“UTMC”), personnel, business partner and distributor of ACSB, only when such service is conducted together with any assigned employee of ACSB. In performing their role, information of the Account Holder(s), including profile, financial and investment records will be made available to assigned employees in addition to the servicing UTSC.
- 1.3 Authorised or assigned employees of ACSB including but not limited to any appointed fund houses, personnel, business partner and distributor of ACSB, must advise and inform Account Holder(s) of their capacity in providing service and general advice. Account Holder(s) is/are advised to make their independent decision, which includes the option to seek other professional advice. Account Holder(s) must be well informed on the above in order to continue the business relationship with ACSB. Such information shall also be made available to ArecaCapital Trustees Berhad (“ACTB”), being a part of the ACSB group, for the purpose of estate planning and/or setting up of private trust only.
- 1.4 For any enquiries on the services and the rights of ACSB, the Account Holder(s) may email to invest@arecacapital.com or visit www.arecacapital.com.

2. Personal Data Protection and Privacy Policy

- 2.1 The protection of personal data and privacy is an important concern to ACSB. Any personal data collected on this form will be treated in accordance with ACSB’s Personal Data Protection and Privacy Policy (“PDPA Policy”). Kindly visit www.arecacapital.com to view the contents of the ACSB’s PDPA Policy.
- 2.2 Account Holder(s) has/have ensured that they have read and understood the PDPA Policy and, where they have provided ACSB with the personal data of any other person, the said person has also read and understood the PDPA Policy. By executing this **Transfer Form**, Account Holder(s) represent(s) that:

- (a) they have read and understood the PDPA Policy and consent is given to ACSB to process their personal data; and
- (b) where they have provided the personal data of any other person, the said person has read and understood the PDPA Policy and he/she consents to ACSB processing of his/her personal data.

3. Issuance of Official Receipt and Statement of Accounts

- 3.1 A confirmation note will be issued to the Principal Holder within 10 Business Days from the date of transaction under normal circumstances.
- 3.2 Statement of Accounts detailing all active accounts will be issued to the Principal Holder periodically.
- 3.3 All items and balances shown in the confirmation notes and Statement of Accounts will be considered correct unless ACSB is notified in writing of any discrepancy within 14 days from the date of the confirmation notes and Statement of Accounts.
- 3.4 All notices, statements, vouchers, correspondence and other communications will be addressed to the Principal Holder only at the address herein.

4. Foreign Currency Denominated Funds

- 4.1 For unit trust fund(s) which are foreign currency denominated funds, ACSB shall only place booking when ACSB receives the money(s) deposited by the Account Holder(s). For payments made by cheques, or bank drafts, ACSB shall only place the booking when the cheque is cleared, not bounced or dishonoured.
- 4.2 Bank charges may apply to all transactions involving foreign currency transfers between separate banks.

5. Transfer

- 5.1 Account Holder(s) may transfer any of their accounts with ACSB to any of their immediate family only (comprises spouse, parents, children and siblings).

6. Income Distribution and Reinvestment Policies

- 6.1 Income distributions declared by the respective unit trust fund(s) distributed by ACSB shall be reinvested in new units of the same unit trust fund(s) except where reinvestment is not allowed.

7. Fees, Charges and Expenses

- 7.1 The Account Holder(s) shall be responsible for the payment of any charges, fees, costs, expenses, taxes (if any) and other liabilities properly payable or incurred by ACSB and in holding or executing transaction in respect of any of the units. All fees/charges may be subject to tax or taxes which may be imposed by the relevant Authority from time to time.

D. SIGNATURE(S) OF TRANSFEROR

I/We hereby confirm, acknowledge and agree:-

- a) that I/we have read and fully understood the Terms and Conditions in this **Transfer Form** accompanied by the relevant Disclosure Documents of the respective unit trust fund(s) and agree to be bound by them.
- b) that the information provided above is accurate and complete and that I/we am/are the Account Holder(s) to the account. I/We agree to indemnify ACSB, its directors, officers, employees, agents and representatives against all actions, suits, proceedings, claims, damages and losses which may be suffered by ACSB as a result of any inaccuracy in the information provided herein.

Please do not pre-sign or sign an incomplete form.

Signature	Signature	Signature
Name:	Name:	Name:
Date:	Date:	Date:

E. PARTICULARS OF TRANSFEREE*

Principal Holder/Company Name

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Joint Holder(s) Name (for Individual Account, where applicable)

Account Number

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Notes:

- *For new Account Holder(s), please complete the **Master Account Opening Form** and **Investor Suitability Assessment Form**** accordingly.
- **Pursuant to the Guidelines on Sales Practices of Unlisted Capital Market Products issued by the Securities Commission Malaysia ("SC"), you are to be guided in choosing unlisted capital market products that suit your investment objectives, risk tolerance, financial profile and investment experience.

F. SIGNATURE(S) OF TRANSFEREE

I/We hereby confirm, acknowledge and agree:-

- a) that I/we have read and fully understood the Terms and Conditions in this **Transfer Form** accompanied by the relevant Disclosure Documents of the respective unit trust fund(s) and agree to be bound by them.
- b) that the information provided above is accurate and complete and that I/we am/are the Account Holder(s) to the account. I/We agree to indemnify ACSB, its directors, officers, employees, agents and representatives against all actions, suits, proceedings, claims, damages and losses which may be suffered by ACSB as a result of any inaccuracy in the information provided herein.

Please do not pre-sign or sign an incomplete form.

Signature	Signature	Signature
Name:	Name:	Name:
Date:	Date:	Date:

FOR ARECA AUTHORISED UTSC USE ONLY

ARECA AUTHORISED UTSC DETAILS		
Signature:	Name:	FIMM Code:
MEETING NOTES		
Date:	Time:	Location:
Other Information:		

FOR OFFICE USE BY ACSB

FOR AUTHORISED OFFICER USE ONLY	
Processed by:	Transaction Date:
Notes:	