

Postcode		State	
Country			
Mailing Address	<input type="checkbox"/> Same as Permanent Home/Residential Address		
Postcode		State	
Country			
Occupation	<input type="checkbox"/> Employed <input type="checkbox"/> Self-Employed <input type="checkbox"/> Professional <input type="checkbox"/> Unemployed <input type="checkbox"/> Housewife <input type="checkbox"/> Retiree <input type="checkbox"/> Student		
Company Name			
Designation/Position			
Principal Industry/Business Activity (Please refer to Appendix B at Page 4 for Code of Industry/Business Activity.)			
	If 10 (Others), please specify: _____		
Annual Gross Income (RM)	<input type="checkbox"/> Up to RM60,000 <input type="checkbox"/> RM60,001 to RM120,000 <input type="checkbox"/> RM120,001 to RM180,000 <input type="checkbox"/> RM180,001 to RM300,000 <input type="checkbox"/> RM300,001 to RM500,000 <input type="checkbox"/> Above RM500,000		
Purpose of Transaction			

C. ACCOUNT TERMS AND CONDITIONS

1. Personal Data Protection and Privacy Policy

- 1.1 The protection of personal data is an important concern to ACSB. Any personal data collected on this form will be treated in accordance with the ACSB's Personal Data Protection and Privacy Policy ("PDPA Policy"). Kindly visit www.arecacapital.com to view the contents of the ACSB's PDPA Policy.
- 1.2 Account Holder(s) has/have ensured that they have read and understood the PDPA Policy and, where they have provided ACSB with the personal data of any other person, the said person has also read and understood the PDPA Policy. By executing this **Ultimate Beneficial Owner Form**, Account Holder(s) represent(s) that:
- (a) they have read and understood the PDPA Policy and consent is given to ACSB to process their personal data; and
 - (b) where they have provided the personal data of any other person, the said person has read and understood the PDPA Policy and he/she consents to ACSB processing of his/her personal data.

D. SIGNATURE(S)

I/We hereby confirm, acknowledge and agree:-

- that I/we have read and fully understood the Terms and Conditions in this **Ultimate Beneficial Owner Form** and **Master Account Opening Form** accompanied by the relevant Disclosure Documents of the respective unit trust fund(s) where applicable and agree to be bound by them.
- that the information provided above is accurate and complete and that I/we am/are the Authorised Signatory(ies) to the account. I/We agree to indemnify ACSB, its directors, officers, employees, agents and representatives against all actions, suits, proceedings, claims, damages and losses which may be suffered by ACSB as a result of any inaccuracy in the information provided herein.

Please do not pre-sign or sign an incomplete form. Please affix company's stamp here.

Authorised Signatory 1	Authorised Signatory 2	Authorised Signatory 3
Name: _____	Name: _____	Name: _____
Date: _____	Date: _____	Date: _____

FOR ARECA AUTHORISED UTSC USE ONLY

ARECA AUTHORISED UTSC DETAILS		
Signature: _____	Name: _____	FIMM Code: _____
MEETING NOTES		
Date: _____	Time: _____	Location: _____
Other Information: _____		

FOR OFFICE USE BY ACSB

FOR AUTHORISED OFFICER USE ONLY	
Processed by: _____	Transaction Date: _____
Notes: _____	
CRS: <input type="checkbox"/> Reportable <input type="checkbox"/> Non-Reportable	

APPENDIX A: CODE OF EXEMPTED LEGAL PERSON OR LEGAL ARRANGEMENT

Definition of Ultimate Beneficial Owner	
Any natural person who ultimately owns or controls a customer and/or the natural person on whose behalf a transaction is being conducted. It also includes the natural person who exercises ultimate effective control over a legal person or arrangement. Reference to “ultimately owns or controls” and “ultimate effective control” refer to situations in which ownership/control is exercised through a chain of ownership or by means of control other than direct control.	
Code	Exempted Legal Person or Legal Arrangement
1	Public-listed companies/corporations listed on Bursa Malaysia or majority-owned subsidiaries of such public-listed companies.
2	Foreign public-listed companies:- (a) listed on exchanges recognised by Bursa Malaysia. A reporting institution may refer to the directive in relation to recognised stock exchanges issued by Bursa Malaysia (b) not listed in jurisdictions identified in the FATF Public Statements
3	An authorised person, an operator of a designated payment system, a registered person (as the case may be) under the Financial Services Act 2013 or the Islamic Financial Services Act 2013.
4	Entities licensed under the Labuan Financial Services and Securities Act 2010 or the Labuan Islamic Financial Services and Securities Act 2010.
5	Persons licensed or registered under the CMSA.
6	Prescribed institutions under the Development Financial Institutions Act 2002.
7	Entities licensed under Money Services Business Act 2011.

APPENDIX B: CODE OF INDUSTRY/BUSINESS ACTIVITY

Code	Industry/Business Activity
1	Casino / Snooker Center / Internet Café Operator / Betting or Other Gambling Related Activities (H1)
2	Company with Nominee Shareholders / Complex Legal Arrangement (H2)
3	High Value Goods Dealer Such as Jewel, Gems, Precious Metal or Antique Dealers (H3)
4	Laundromat / Vending Machines Operator, Restaurant, Recycling Business and etc. (H4)
5	Money Lender / Money Changer / Remittance Agency / Pawn Shop / Debt Collection Related Operators (H5)
6	Night Club / Karaoke / Other Alcoholic Establishment and etc. (H6)
7	Offshore Corporation / Persons Located in Tax Haven Jurisdictions & Non Cooperative Countries by FATF (H7)
8	Trust / Nominees / Special Purpose Vehicles (“SPVs”) (H8)
9	Weapons / Armament Related Business Such as Manufacturers, Dealer or Intermediaries (H9)
10	Others