

2. INVESTMENT SETTLEMENT DETAILS

<input type="checkbox"/> Cheque	Drawee Bank:	Cheque No.:	Amount:
	_____	_____	_____
<input type="checkbox"/> Fund Transfer	Bank Name:	Date & Time:	Amount:
	_____	_____	_____
<input type="checkbox"/> Transfer-in: Please include the Consent Letter and a copy of Statement of Unit Holdings from the transferor.			

Investment Settlement Details

Collection Bank Account Details

Bank Name: Maybank Islamic Berhad

SWIFT Code: MBBEMYKL

Ringgit Denominated Funds

Account Name: Areca Capital Sdn. Bhd. - CTA

Account Number: 5140 1201 3980

Foreign Currency Denominated Funds

Account Name: Areca Capital Sdn. Bhd. - CTA

Account Number: 7642 7600 1098

IMPORTANT NOTES:

- Cash payment, cash deposit and third-party payment are strictly not allowed.
- Please provide proof of payment (Online Transfer Receipt or Bank-in Receipt).
- Please indicate NRIC No. and Principal Holder's Name or Company Name and Company Registration No. as reference in the remittance advice.

C. DECLARATIONS BY ACCOUNT HOLDER(S)

1. SUITABILITY ASSESSMENT DECLARATION

Pursuant to the Guidelines on Sales Practices of Unlisted Capital Market Products issued by the SC, I/we hereby declare that I/we have been assessed by ACSB on the suitability assessment and confirm that there has been no material change in the information since the last assessment.

2. SOPHISTICATED INVESTOR DECLARATION

I/We hereby declare and confirm that I/we am/are a Sophisticated Investor⁵ and wish to invest into Wholesale Fund⁶ as defined in the Guidelines on Categories of Sophisticated Investors ("Guidelines") issued by the SC.

⁵ Sophisticated Investor is defined in the Guidelines to mean any person who comes within any of the categories of investors set out in Part 1, Schedule 6 and 7 of the Capital Markets and Services Act 2007. Please refer to the Wholesale Fund's Product Highlight Sheet/Information Memorandum definition of Sophisticated Investor.

⁶ Wholesale Fund is defined in the Guidelines and only Sophisticated Investor may invest in a Wholesale Fund. This is also applicable to all Joint Holder(s) for Individual Account.

3. FOREIGN CURRENCY FUND DECLARATION

I/We wish to invest into foreign currency denominated Fund(s). I/We have read and fully understood, and will comply with the requirements of the Foreign Exchange Administration of the Bank Negara Malaysia ("BNM"), including but not limited to the rules in relation to Domestic Ringgit Borrowing⁷ and/or other requirements of the BNM or any other relevant authorities from time to time.

⁷ Domestic Ringgit Borrowing is defined under the Notice 3: Investment in Foreign Currency Asset, issued by BNM.

D. CLIENT INFORMATION REVIEW

INDIVIDUAL

No	Information Update Items	Changed	No Change
1.	Any change to address, contact details, email, identification particulars, nationality or tax residency status?	<input type="checkbox"/>	<input type="checkbox"/>
2.	Any change to PEP status (including family member/ close associate of a PEP)?	<input type="checkbox"/>	<input type="checkbox"/>

No	Information Update Items	Changed	No Change
3.	Any material change to source of funds and/or source of wealth?	<input type="checkbox"/>	<input type="checkbox"/>
4.	Any material change to financial position, investment objective, liquidity needs or risk profile?	<input type="checkbox"/>	<input type="checkbox"/>
5.	Any other material change to client information previously provided, e.g. employment status, occupation, employer, designation or principal business activity?	<input type="checkbox"/>	<input type="checkbox"/>

Note: If any item above is marked “Changed”, the latest CDD 7 and/or relevant update form is required before processing the application of transaction.

CORPORATE			
No	Information Update Items	Changed	No Change
1.	Any change to shareholders, board of directors, beneficial owners, senior management, authorised signatories or contact person?	<input type="checkbox"/>	<input type="checkbox"/>
2.	Any change to PEP status, including where any director, shareholder, beneficial owner or senior management is a PEP or closely associated with a PEP?	<input type="checkbox"/>	<input type="checkbox"/>
3.	Any change to the principal business activity, source of funds or purpose of investment?	<input type="checkbox"/>	<input type="checkbox"/>
4.	Any material change to the company’s financial position, risk profile, tax residency, FATCA/CRS classification or Sophisticated Investor status?	<input type="checkbox"/>	<input type="checkbox"/>
5.	Any other material change to client information previously provided?	<input type="checkbox"/>	<input type="checkbox"/>

Note: If any item above is marked “Changed”, the latest CDD 7 and/or relevant update form is required before processing the application of transaction.

E. ACCOUNT TERMS AND CONDITIONS

1. Rights of ACSB

- 1.1 The Terms and Conditions herein are not exhaustive and ACSB reserves the right to add, delete, vary or amend these Terms and Conditions at any time and from time to time at its sole and absolute discretion and the Account Holder(s) hereby agree to any such additions, deletions, variations or amendments to the Terms and Conditions. Where there are conflicting terms, the terms in ACSB’s forms and/or Disclosure Documents shall prevail.
- 1.2 ACSB reserves the right to reject any application in whole or in part without assigning any reason. Rejected applicants will be notified and ACSB will refund the applicant’s money (s), made payable to the applicant, within 7 days from the date of receipt of the duly completed form.
- 1.3 Account Holder(s) is/are informed and has/have expressly agreed to be serviced by any assigned employee of ACSB in addition to the servicing Unit Trust Scheme Consultant (“UTSC”), including but not limited to any appointed Unit Trust Management Company (“UTMC”), personnel, business partner and distributor of ACSB, only when such service is conducted together with any assigned employee of ACSB. In performing their role, information of the Account Holder(s), including profile, financial and investment records will be made available to assigned employees in addition to the servicing UTSC. Such information shall also be made available to ArecaCapital Trustees Berhad (“ACTB”), being a part of the ACSB group, for the purpose of estate planning and/or setting up of private trust only.
- 1.4 Authorised or assigned employees of ACSB including but not limited to any appointed UTMC, personnel, business partner and distributor of ACSB, must advise and inform Account Holder(s) of their capacity in providing service and general advice. Account Holder(s) is/are advised to make their independent decision, which includes the option to seek other professional advice. Account Holder(s) must be well informed on the above in order to continue the business relationship with ACSB.
- 1.5 For any enquiries on the services and the rights of ACSB, Account Holder(s) may email to invest@arecacapital.com or visit www.arecacapital.com.

2. Anti-Money Laundering Statement

- 2.1 The source of all funds paid by the Account Holder(s) to ACSB from time to time shall originate from the Account Holder(s)’s legitimate source, for example, legitimate business activity.
- 2.2 Account Holder(s) must provide all information and documents required and declare that all particulars given are true and complete to allow ACSB to verify the Account Holder(s)’s identity(ies).
- 2.3 ACSB shall not be held liable for the action or omission of the Account Holder(s)’s for any reason whatsoever and the Account Holder(s) agree(s) to indemnify(ies) ACSB from any losses whatsoever as a result or in connection with any delay or failure to process any application/transaction if such information and/or documents are not provided on time to ACSB.
- 2.4 ACSB reserves the following rights to reject:-
 - (a) any application if the Account Holder(s) is/are not able to prove the information and/or documents required; or
 - (b) if the Account Holder(s) is/are found to have breached or in compliance with the laws, regulations and rules on Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 and ‘Know Your Customer’ policy of the ACSB; or
 - (c) any applicant that falls under high risk category under the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 and ‘Know Your Customer’ policy of the ACSB.
- 2.5 ACSB shall notify any rejected applicant of such rejection in any manner deemed necessary by ACSB.

3. Personal Data Protection and Privacy Policy

- 3.1 The protection of personal data and privacy is an important concern to ACSB. Any personal data collected on this form will be treated in accordance with ACSB’s Personal Data Protection and Privacy Policy (“PDPA Policy”). Kindly visit www.arecacapital.com to view the contents of the ACSB’s PDPA Policy.
- 3.2 Account Holder(s) has/have ensured that they have read and understood the PDPA Policy and, where they have provided ACSB with the personal data of any other person, the said person has also read and understood the PDPA Policy. By executing this **Additional Investment Form**, Account Holder(s) represent(s) that:
 - (a) they have read and understood the PDPA Policy and consent is given to ACSB to process their personal data; and
 - (b) where they have provided the personal data of any other person, the said person has read and understood the PDPA Policy and he/she consents to ACSB processing of his/her personal data.

4. Unit Trust Loan Financing Risk Disclosure Statement

- 4.1 Investing in a unit trust fund(s) with borrowed money is more risky than investing with Account Holder(s)'s own savings.
- 4.2 Account Holder(s) should assess if loan financing is suitable for the Account Holder(s), taking into consideration the Account Holder(s)'s investment objectives, attitude to risk and financial circumstances. Account Holder(s) should be aware of the risks, which would include the following:
- The higher the margin of financing (that is, the amount of money the Account Holder(s) borrow(s) for every ringgit of the Account Holder(s)'s own money(s) which the Account Holder(s) put(s) in as deposit or down payment), the greater the loss or gain on the Account Holder(s)'s investment(s).
 - The Account Holder(s) should assess whether the Account Holder(s) has/have the ability to service the repayments on the proposed loan. If the Account Holder(s)'s loan is a variable rate loan, and if interest rates rise, the Account Holder(s)'s total repayment amount will be increased.
 - If unit prices fall beyond a certain level, the Account Holder(s) may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of the Account Holder(s)'s normal instalments. If the Account Holder(s) fail(s) to comply within the time prescribed, the Account Holder(s)'s units may be sold towards the settlement of the Account Holder(s)'s loan.
 - Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced. Whether the Account Holder(s) eventually realise a gain or loss may be affected by the timing of the sale of the Account Holder(s)'s units. The value of units may fall just when the Account Holder(s) want(s) the money back, even though the investment may have done well in the past.
- 4.3 This brief statement cannot disclose all the risks and other aspects of loan financing. Account Holder(s) should therefore carefully study the Terms and Conditions before the Account Holder(s) decide(s) to take the loan. If Account Holder(s) is/are in doubt about any aspect of this risk disclosure statement or the terms of the loan financing, Account Holder(s) should consult the institution offering the loan.

5. Issuance of Official Receipt and Statement of Accounts

- 5.1 A confirmation note will be issued to the Principal Holder/Account Holder within 10 Business Days from the date of transaction under normal circumstances.
- 5.2 Statement of Accounts detailing all active accounts will be issued to the Principal Holder/Account Holder periodically.
- 5.3 All items and balances shown in the confirmation notes and Statement of Accounts will be considered correct unless ACSB is notified in writing of any discrepancy within 14 days from the date of the confirmation notes and Statement of Accounts.
- 5.4 All notices, statements, vouchers, correspondence and other communications will be addressed to the Principal Holder/Account Holder only at the address herein.

6. Application and Remittance

- 6.1 All instructions, investments and transactions will be carried out according to the instructions of the Account Holder(s) specified in the **Master Account Opening Form**/Board Resolution or any subsequent relevant forms duly received by ACSB. Transactions are subject to the availability of units in the relevant unit trust fund(s).
- 6.2 Payment may be made by telegraphic transfer, bank or online transfer, cheque or bank draft.
- 6.3 All cheques or bank drafts must be made payable to "Areca Capital Sdn. Bhd. - CTA" and crossed 'Account Payee Only'. A copy of the bank-in or transaction slip must be sent together with this **Additional Investment Form** to ACSB.
- 6.4 For all modes of payment, please ensure that the Account Holder's name, account number (if known), contact number, and NRIC No. (if applicable) are written on the reverse side of the cheque, draft, bank-in slip, transaction slip or other banking evidence.
- 6.5 Cash payment, cash deposit and third-party payment are strictly not allowed.
- 6.6 Units will be priced based on the Net Asset Value ("NAV") calculated at the end of the Business Day upon receipt of the duly completed **Additional Investment Form**. Units will be credited upon clearance of payment, after deduction of the necessary fees, charges, taxes and other deductions, where applicable.
- 6.7 Notwithstanding the above, for investment in money market funds, Account Holder(s) is required to ensure money is successfully remitted and cleared before submitting investment application to ACSB. ACSB reserves the right to reject or defer the application of investment if ACSB does not receive the full payment.
- 6.8 ACSB shall be entitled to rely upon the Account Holder(s)'s instructions stated herein to proceed with the application. It will be deemed irrevocable and the Account Holder(s) will not be able to cancel, stop or perform any changes to the application upon submission.

7. Foreign Currency Denominated Funds

- 7.1 For unit trust fund(s) which are foreign currency denominated funds, ACSB shall only place booking when ACSB receives the money(s) deposited by the Account Holder(s). For payments made by cheques, or bank drafts, ACSB shall only place the booking when the cheque is cleared, not bounced or dishonoured.
- 7.2 Bank charges may apply to all transactions involving foreign currency transfers between separate banks.

8. Transfer-in

- 8.1 Transfer-in of units from other platforms and/or UTM to ACSB is only allowed when there is no change of Beneficial Owner including Joint Holder(s).
- 8.2 It may take approximately two weeks to complete the transfer-in process. The process may take longer as it is subject to the completeness of information/documents provided by Account Holder(s) and transferor's confirmation.

9. Income Distribution and Reinvestment Policies

- 9.1 Income distributions declared by the respective unit trust fund(s) shall be reinvested in new units of the same unit trust fund(s) except where reinvestment is not allowed.

10. Fees, Charges and Expenses

- 10.1 The Account Holder(s) shall be responsible for the payment of any charges, fees, costs, expenses, taxes (if any) and other liabilities properly payable or incurred by ACSB and in holding or executing transaction in respect of any of the units. All fees/charges may be subject to tax or taxes which may be imposed by the relevant Authority from time to time.

F. SIGNATURE(S)

I/We hereby confirm, acknowledge and agree:-

- that I/we have read and fully understood the Terms and Conditions in this **Additional Investment Form** accompanied by the relevant Disclosure Documents of the respective unit trust fund(s) and agree to be bound by them.
- that I/we am/are aware and agree of the fees and charges, including applicable tax(es) (if any) at the standard rate currently imposed by the relevant Authority that I/we will incur directly or indirectly when investing in the unit trust fund(s) in **Section B**.
- that I/we have received a copy of the Disclosure Documents of the respective unit trust fund(s) and have been assessed by my/our UTSC on the suitability assessment.
- that I/we have read the unit trust loan financing risk disclosure statement in **Section D**, Clause 4 and understood its contents and I/we have received a copy of the same (if applicable).
- that the payment is remitted from my/our own/company account.
- that the information provided above is accurate and complete and that I/we am/are the Account Holder(s) to the account. I/We agree to indemnify ACSB, its directors, officers, employees, agents and representatives against all actions, suits, proceedings, claims, damages and losses which may be suffered by ACSB as a result of any inaccuracy in the information provided herein.

Please do not pre-sign or sign an incomplete form. For corporate, please affix company's stamp here.

Signature	Signature	Signature
Name:	Name:	Name:
Date:	Date:	Date:

FOR ARECA AUTHORISED UTSC USE ONLY

ARECA AUTHORISED UTSC DETAILS

Signature:	Name:	FIMM Code:
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Declaration:

- I hereby confirm that I have witnessed the signature(s) of the Account Holder(s) and I confirm that the particulars of the Account Holder(s) stated herein to be true and correct.
- I hereby confirm and declare that all the information I have access to pursuant to my role as the UTSC shall be strictly for ACSB's use only and I undertake that I shall not under any circumstances use or utilise any part of the information I have access to in my professional capacity as UTSC for my personal use. I agree that ACSB reserves the right to pursue whatever remedies it deems fit and proper if I am discovered to have misused or abused any information I have access to pursuant to my role as the UTSC.
- I hereby confirm that I have exercised reasonable care, skill, and due diligence in providing all services and performing all duties including but not limited to managing affairs of the client(s) in accordance with the applicable regulatory guidelines, regardless of whether the client is identified, discloses, or is reasonably assessed as a Vulnerable Client or otherwise.

MEETING NOTES

Date:	Time:	Location:
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Other Information:

OTHER SUPPORTING DOCUMENTS / CLIENT DUE DILIGENCE

- CDD 3 - Enhanced due diligence e.g.: fund source, PEP, complex legal arrangement, sophisticated status due to joint qualification etc.
- CDD 5 - Transaction review e.g.: transactions above threshold.
- CDD 7 - Profile Update Form (if applicable)
- Investor Suitability Assessment Form (if applicable)
- Proof of Payment

FOR OFFICE USE BY ACSB

FOR AUTHORISED OFFICER USE ONLY

Processed by:	Transaction Date:
Notes:	